

Express Mail # EL 540741980US

PATENT  
Docket No. M 6830 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of  
Kinoshita et al.

Serial No. 09/869,133  
Filed  
PCT/JP99/07210

Examiner: Unknown  
Art Unit: Unknown

International Filing Date: 12/22/99  
Priority Date Claimed: 12/25/98

TITLE: WATER-BASED METAL TREATMENT COMPOSITION FOR FORMING  
LUBRICATING FILM WITH EXCELLENT MARRING RESISTANCE

TRANSMITTAL OF DECLARATION  
UNDER 37 CFR SECTION 1.494/5(c)

Assistant Commissioner of Patents  
Box PCT  
P. O. Box 2327  
Arlington, VA 22202

Attn: DO/EO/US

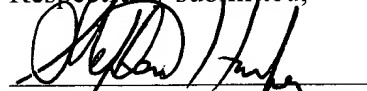
Sir:

No original declaration or oath was filed earlier herein. Accordingly, enclosed is the original declaration or oath for this application.

Please charge our Deposit Account No. 01-1250 in the amount of \$130.00 as prescribed by 37 CFR 1.492(e) for the surcharge and processing fee for filing a declaration on a date later than 20/30 months after the priority date of the application. Order No. 01-0843. Authorization is also granted to charge any deficiency to Deposit Account 01-1250.


11/30/2001 UEDUWIJE 00000135 011250 09869133  
01 FC:154 130.00 CH

Respectfully submitted,



Stephen D. Harper  
(Reg. No. 33,243)  
Attorney/Agent for Applicant  
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Henkel Corporation  
Law Department  
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Gulph Mills, PA 19406

 <small>Commission for Patents, Ben PCT, United States Patenting Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
<i>Dec Amsholter - 11/27/01</i>		

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869,133	Yasuhiro Kinoshita	M 6830 PCT/US

INTERNATIONAL APPLICATION NO.
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PCT/JP99/07210

I.A. FILING DATE	PRIORITY DATE
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12/22/1999

12/25/1998

Stephen D Harper  
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2500 Renaissance Blvd Suite 200  
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RECEIVED HENKEL LAW DEPT  
ACTION \_\_\_\_\_ INFO \_\_\_\_\_

OCT 01 2001 *LM*

DUE \_\_\_\_\_  
FILE \_\_\_\_\_

CONFIRMATION NO. 2321

371 FORMALITIES LETTER



\*OC000000006793215\*

Date Mailed: 09/27/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FRANCINE YOUNG

Telephone: (703) 305-3662

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/869,133	PCT/JP99/07210	M 6830 PCT/US